

118TH CONGRESS  
1ST SESSION

# S. 1734

To enhance the research security protocols of the Department of Energy for the purpose of preventing theft of government research by nationals of foreign countries of concern, and for other purposes.

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IN THE SENATE OF THE UNITED STATES

MAY 18, 2023

Mr. BARRASSO introduced the following bill; which was read twice and referred to the Committee on Energy and Natural Resources

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## A BILL

To enhance the research security protocols of the Department of Energy for the purpose of preventing theft of government research by nationals of foreign countries of concern, and for other purposes.

1       *Be it enacted by the Senate and House of Representa-*

2       *tives of the United States of America in Congress assembled,*

3       **SECTION 1. SHORT TITLE.**

4       This Act may be cited as the “Securing American Re-

5       search from Foreign Adversaries Act” or the “SARFA

6       Act”.

**1 SEC. 2. RESEARCH SECURITY.**

2       Section 10114(a)(2) of the Research and Develop-  
3 ment, Competition, and Innovation Act (42 U.S.C.  
4 18912(a)(2)) is amended by striking “authorized” and all  
5 that follows through the period at the end and inserting  
6 “administered or provided, as applicable, by the Depart-  
7 ment of Energy, including National Laboratories (as de-  
8 fined in section 2 of the Energy Policy Act of 2005 (42  
9 U.S.C. 15801)).”.

**10 SEC. 3. POLYGRAPH EXAMINATIONS FOR CERTAIN FOR-****11 EIGN NATIONALS WITH ACCESS TO NON-  
12 PUBLIC NATIONAL LABORATORY AREAS OR  
13 INFORMATION.**

14       (a) DEFINITIONS.—In this section:

15           (1) COVERED FOREIGN NATIONAL.—

16              (A) IN GENERAL.—The term “covered for-  
17 eign national” means a foreign national who—

18                  (i) is a citizen or national of, or other-  
19 wise owes allegiance to, a country that, as  
20 of the date described in subparagraph (B),  
21 is a foreign country of concern (as defined  
22 in section 10612(a) of the Research and  
23 Development, Competition, and Innovation  
24 Act (42 U.S.C. 19221(a))); and

25                  (ii) is not—

(I) a national of the United States; or

(II) lawfully admitted for permanent residence.

(B) DATE DESCRIBED.—The date referred to in subparagraph (A)(i) is, as applicable—

(I) in a position at a National Laboratory; or

(2) DEPARTMENT.—The term “Department” means the Department of Energy.

1       in section 101(a) of the Immigration and Nationality  
2       Act (8 U.S.C. 1101(a)).

3                 (4) NATIONAL OF THE UNITED STATES.—The  
4       term “national of the United States” has the mean-  
5       ing given the term in section 101(a) of the Immigra-  
6       tion and Nationality Act (8 U.S.C. 1101(a)).

7                 (5) NATIONAL LABORATORY.—The term “Na-  
8       tional Laboratory” has the meaning given the term  
9       in section 2 of the Energy Policy Act of 2005 (42  
10      U.S.C. 15801).

11                 (6) QUALIFYING POLYGRAPH EXAMINATION.—  
12       The term “qualifying polygraph examination” means  
13       a polygraph examination that is carried out in a  
14       manner that, as determined by the Secretary, is con-  
15       sistent with Intelligence Community Policy Guidance  
16       704.6 published by the Director of National Intel-  
17       ligence and entitled “Conduct of Polygraph Exam-  
18       inations for Personnel Security Vetting” (or suc-  
19       cessor guidance).

20                 (7) SECRETARY.—The term “Secretary” means  
21       the Secretary of Energy, acting through the Director  
22       of the Office of Intelligence and Counterintelligence.

23                 (b) QUALIFYING POLYGRAPH EXAMINATIONS.—

24                 (1) POTENTIAL EMPLOYEES OF THE DEPART-  
25       MENT.—Before a covered foreign national is hired

1 by the Department to work in a position at a Na-  
2 tional Laboratory or in any position in which the  
3 covered foreign national will have access to non-  
4 public areas, data, or information of a National Lab-  
5 oratory, the Secretary shall administer a qualifying  
6 polygraph examination to the covered foreign na-  
7 tional.

8 (2) EXISTING EMPLOYEES OF THE DEPART-  
9 MENT.—

10 (A) IN GENERAL.—Before an employee of  
11 the Department who is a covered foreign na-  
12 tional may be transferred to a position at a Na-  
13 tional Laboratory or to any other position at  
14 the Department in which the employee will have  
15 access to nonpublic areas, data, or information  
16 of a National Laboratory, the Secretary shall  
17 administer a qualifying polygraph examination  
18 to the employee.

19 (B) CERTAIN EXISTING EMPLOYEES WITH  
20 ACCESS TO NONPUBLIC INFORMATION.—

21 (i) EXISTING EMPLOYEES WHO ARE  
22 COVERED FOREIGN NATIONALS.—Each em-  
23 ployee of the Department who, as of the  
24 date of enactment of this Act, is a covered  
25 foreign national who works in a position at

1                   a National Laboratory or in any other po-  
2                   sition at the Department in which the em-  
3                   ployee has access to nonpublic areas, data,  
4                   or information of a National Laboratory  
5                   shall be required to submit to a qualifying  
6                   polygraph examination by a date deter-  
7                   mined by the Secretary, but not later than  
8                   1 year after the date of enactment of this  
9                   Act, to remain in that position.

10                  (ii) EXISTING EMPLOYEES WHO BE-  
11                  COME COVERED FOREIGN NATIONALS.—An  
12                  employee of the Department who works in  
13                  a position at a National Laboratory or in  
14                  any other position at the Department in  
15                  which the employee has access to nonpublic  
16                  areas, data, or information of a National  
17                  Laboratory shall be required to submit to  
18                  a qualifying polygraph examination—

19                   (I) as soon as practicable after  
20                  becoming a covered foreign national;  
21                  and

22                   (II) before being transferred,  
23                  promoted, or otherwise hired into an-  
24                  other position at the Department in  
25                  which the employee will have access to

1 nonpublic areas, data, or information  
2 of a National Laboratory if the em-  
3 ployee is a covered foreign national as  
4 of the date of the transfer, promotion,  
5 or hiring.

### 6 (3) CONTRACTORS AND OTHER INDIVIDUALS

7       WITH ACCESS TO NONPUBLIC INFORMATION.—Be-  
8       fore a covered foreign national is initially granted  
9       access to nonpublic areas, data, or information of a  
10      National Laboratory under a contract or agreement  
11      with the Department, the Secretary shall administer  
12      a qualifying polygraph examination to the covered  
13      foreign national.

14 (c) SPECIFIC ISSUE POLYGRAPH EXAMINATIONS.—

15 The Secretary may require a covered foreign national to  
16 submit to a Specific Issue Polygraph examination (within  
17 the meaning of Intelligence Community Policy Guidance  
18 704.6 published by the Director of National Intelligence  
19 and entitled “Conduct of Polygraph Examinations for Per-  
20 sonnel Security Vetting” (or successor guidance)) at any  
21 time during which the covered foreign national is employed  
22 by the Department.

23 (d) REEXAMINATION.—The Secretary shall require  
24 each employee of the Department who is a covered foreign

1 national to be reexamined with an appropriate polygraph

2 examination—

3 (1) not less frequently than once every 5 years

4 while employed by the Department; and

5 (2) in a manner consistent with other members

6 of the intelligence community (as defined in section

7 3 of the National Security Act of 1947 (50 U.S.C.

8 3003)) that require reexamination every 5 years.

9 (e) REFUSAL OF EXAMINATION; TERMINATION OF

10 EMPLOYMENT.—

11 (1) IN GENERAL.—If a covered foreign national

12 refuses to submit to a polygraph examination under

13 this section—

14 (A) the covered foreign national shall not

15 be granted access to any nonpublic areas, data,

16 or information of a National Laboratory, and

17 any existing grant of access to such areas, data,

18 or information shall be immediately revoked;

19 and

20 (B) if the covered foreign national is an

21 employee of the Department, the employment of

22 the covered foreign national at the Department

23 shall be terminated.

24 (2) CERTAIN EMPLOYEES OF THE DEPART-

25 MENT.—

(A) EMPLOYEES UNDER CONSIDERATION FOR TRANSFER TO CERTAIN POSITIONS.—Paragraph (1)(B) shall apply to an employee of the Department described in subsection (b)(2)(A) who does not have access to nonpublic areas, data, or information of the Department but is under consideration for a transfer to a position described in that paragraph such that, if the employee refuses to submit to a polygraph examination under this section—

- (i) the employee shall be ineligible for the transfer; and
- (ii) the employment of the employee at the Department shall be terminated.

(B) EMPLOYEES WITH ACCESS TO NON-PUBLIC AREAS OR INFORMATION.—Paragraph B) shall apply to any employee of the Department who is a covered foreign national and works in a position at a National Laboratory or any other position at the Department in which the employee has access to nonpublic data, or information of a National Laboratory such that, if the employee refuses to submit to a polygraph examination under this provision, the employment of the employee at the

1           Department, including at any National Labora-  
2           tory, shall be terminated.

3           (3) POTENTIAL EMPLOYEES OF THE DEPART-  
4           MENT.—A covered foreign national who is under  
5           consideration for employment in a position described  
6           in subsection (b)(1) and refuses to submit to a poly-  
7           graph examination under this section—

8                 (A) shall be removed from consideration  
9                 for employment in that position; and

10                 (B) in accordance with paragraph (4),  
11                 shall not be eligible for employment at the De-  
12                 partment, including at any National Labora-  
13                 tory.

14           (4) SUBSEQUENT EMPLOYMENT AT THE DE-  
15           PARTMENT.—

16                 (A) IN GENERAL.—A covered foreign na-  
17                 tional shall not be eligible for employment at  
18                 the Department, including at any National Lab-  
19                 oratory, if the covered foreign national pre-  
20                 viously refused to submit to a polygraph exam-  
21                 ination under this section.

22                 (B) CHANGE IN STATUS.—A foreign na-  
23                 tional who is lawfully admitted for permanent  
24                 residence shall not be eligible for employment at  
25                 the Department, including at any National Lab-

1 oratory, if the foreign national previously re-  
2 fused to submit to a polygraph examination  
3 under this section at a time when the foreign  
4 national was a covered foreign national.

5 (f) SAVINGS PROVISION.—Nothing in this section au-  
6 thorizes the Secretary to require an individual who is not  
7 a covered foreign national to submit to a polygraph exam-  
8 ination.

